

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 04/30/2011

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

The Raben Group, LLC

(b) Registration No.

5932

(c) Business Address(es) of Registrant

1640 Rhode Island Ave NW Ste 600
Washington DC 20036

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐ Inapplicable

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
Patricia First	Lobbyist	Embassy of Mexico	09/01/2010
Eleanor Collinson	Lobbyist	Embassy of Mexico	08/03/2009

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☐ Inapplicable

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Carlos Quesnel, Head of Section, Legal Affairs, Embassy of Mexico
 Carlos Sada, Head of Section, Congressional Affairs, Embassy of Mexico
 Cristina Oropeza, Legal Affairs, Embassy of Mexico

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐ Inapplicable

Exhibit B⁴ Yes ☐ No ☐ Inapplicable

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐ Inapplicable

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

We work to support the passage of Congressional legislation to implement the Avena Judgement. This includes developing congressional and Administration lobbying strategy, meeting with Congressional offices and Administration officials, drafting informational documents and letters, and educating interested organizations and individuals about these issues. If/when needed, we will also assist with media strategy and communications.
Please see Attachment A for Details

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Please see Attachment B for Details

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
Please see Attachment C for details			

\$60,274.87

Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐ Inapplicable

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
Please see Attachment D			

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒ No ☐

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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Please see
Attachment E

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
 Yes ☒ No ☐

If Yes, go to Item 17.

- (b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?
 Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Embassy of Mexico

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☒ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

Electronic Communications

- ☐ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☒ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?
 Yes ☒ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

August 23, 2011

/s/ Robert Raben, President

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Exhibit A, Attachment

Date	Participants	Venue	Description
11/4/2010	Katharine Huffman, Harold Koh, Legal Adviser and Sarah Cleveland, Counselor on International Law (State Department), Kimberly Goulart	Washington, DC	Email regarding an update on the pending Humberto Leal case.
11/4/2010	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel, Tara Magner, Counsel, and Noah Bookbinder, Lara Flint, Counsel (Sen. Judiciary Committee), and Andrew Keller, Counsel (Sen. Kerry)	Washington, DC	Email regarding an update on the pending Humberto Leal case.
11/4/2010	Katharine Huffman, Kimberly Goulart, Keenan Keller, Counsel, Perry Apfelbaum, Staff Director/Chief Counsel, Jesselyn McCurdy, Counsel, Bobby Vassar, Chief Counsel, Tom Jawetz, Counsel, Ur Jaddou, Chief Counsel, Ted Kalo, General Counsel, David Lachmann, Chief Counsel	Washington, DC	Email regarding an update on the pending Humberto Leal case.
11/9/2010	Katharine Huffman, Harold Koh, Legal Adviser and Sarah Cleveland, Counselor on International Law (State Department), Sandra Babcock, Kimberly Goulart	Washington, DC	Call regarding an update on the pending Humberto Leal case and legislative language and strategy for passage.
11/10/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding legislative language and strategy for passage.
11/12/2010	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	Email regarding legislative language and strategy for passage.
11/12/2011	Katharine Huffman, Kimberly Goulart, Serena Hoy, Counsel (Sen. Reid)	Washington, DC	Email regarding legislative language and strategy for passage.
11/16/2010	Katharine Huffman, Kimberly Goulart, Vance Serchuk, Legislative Assistant (Sen. Lieberman)	Washington, DC	Email regarding legislative language and strategy for passage.
11/16/2010	Katharine Huffman, Kimberly Goulart, Josh Blumenfeld, Legislative Assistant and Patrick Grant, Legislative Assistant (Sen. Dodd)	Washington, DC	Email regarding legislative language and strategy for passage.
11/16/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding legislative language and strategy for passage.
11/19/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding legislative language and strategy for passage.
11/29/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding legislative language and strategy for passage.
11/29/2010	Katharine Huffman, Sarah Cleveland (State Department)	Washington, DC	Email regarding legislative language and strategy for passage.
11/30/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Multiple phone calls regarding legislative language and strategy for passage.
11/30/2010	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Multiple emails regarding legislative language and strategy for passage.
11/30/2010	Katharine Huffman, Kimberly Goulart, Kathleen Hooke, Nisha Prabhu and Sarah Cleveland (State Department)	Washington, DC	Email regarding legislative language and strategy for passage.
11/30/2010	Katharine Huffman, Patrick Grant, Legislative Assistant (Sen. Dodd)	Washington, DC	Phone call regarding legislative language and strategy for passage.
12/1/2010	Katharine Huffman, Joshua Blumenfeld, Legislative Assistant and Patrick Grant, Legislative Assistant (Sen. Dodd)	Washington, DC	Email regarding legislative language and strategy for passage.

Exhibit A, Attachment

Date	Participants	Venue	Description
12/1/2010	Katharine Huffman, Lara Flint, Counsel (Sen. Feingold)	Washington, DC	Phone call regarding legislative language and strategy for passage.
12/1/2010	Katharine Huffman, Andrew Keller, Counsel (Sen. Kerry)	Washington, DC	Phone call regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Serena Hoy, Counsel (Sen. Reid)	Washington, DC	Phone call regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Kimberly Goulart, Van Luong, Chief of Staff and Mary Yoshioka, Legislative Assistant (Sen. Inouye)	Washington, DC	Email regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Kimberly Goulart, Andrew Keller, Counsel (Sen. Kerry)	Washington, DC	Email regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Kimberly Goulart, Serena Hoy, Counsel (Sen. Reid)	Washington, DC	Email regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Kimberly Goulart, Kathleen Hooke, Nisha Prabhu and Sarah Cleveland (State Department)	Washington, DC	Email regarding legislative language and strategy for passage.
12/8/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Multiple emails regarding legislative language and strategy for passage.
12/10/2010	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee), Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	In-person meeting regarding legislative language and strategy for implementing legislation in next Congress
16-Dec	Katharine Huffman, Bobby Vassar, Chief Counsel (Rep. Scott) and Ron LeGrand, Minority (Dem) Counsel (House Jud Committee)	Washington, DC	In-person meeting regarding legislative language and strategy for implementing legislation in next Congress
1/11/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding bill introduction, legislative language, and strategy for passage.
1/19/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding updates on strategy for passage.
1/20/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding bill introduction, legislative language, and strategy for passage.
1/27/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding bill introduction, legislative language, and strategy for passage.
1/31/2011	Katharine Huffman, Robert Raben, Bruce Swartz (DOJ)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
2/3/2011	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	In-person meeting regarding strategy for introduction and passage of implementing legislation.
2/4/2011	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee), Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
2/17/2011	Katharine Huffman, Robert Raben, Bruce Swartz (DOJ)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.

Exhibit A, Attachment

Date	Participants	Venue	Description
2/24/2011	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee), Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	In-person meeting regarding strategy for introduction and passage of implementing legislation.
2/24/2011	Katharine Huffman, Kimberly Goulart, Bruce Swartz, Robert Erickson, Kathleen Felton (DOJ)	Washington, DC	In-person meeting regarding strategy for introduction and passage of implementing legislation.
2/24/2010	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee), Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	In-person meeting regarding strategy for introduction and passage of implementing legislation.
2/25/2011	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee), Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding updates on Avena cases
3/2/2011	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel and Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/2/2011	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/2/2011	Katharine Huffman, Mark Kappelhoff (DOJ)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/2/2011	Katharine Huffman, Robert Raben, Lisa Monaco (DOJ)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/2/2011	Katharine Huffman, Lynn Overmann (DOJ)	Washington, DC	Emails regarding Avena litigation update and legislative strategy for passage of implementing language.
3/2/2011	Katharine Huffman, Kimberly Goulart, Bruce Swartz, Robert Erickson, Kathleen Felton (DOJ)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/4/2011	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	Email regarding Avena litigation update.
3/7/2011	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel and Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/7/2011	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/8/2011	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
3/9/2011	Katharine Huffman, Robert Raben, Aaron Lewis (Office of the Attorney General, DOJ)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
3/10/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
3/11/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
3/11/2011	Katharine Huffman, Kimberly Goulart, Alvaro Bedoya, Counsel, Jeff Lomonaco, Legislative Assistant (Sen. Franken)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
3/15/2011	Katharine Huffman, Kimberly Goulart, Alvaro Bedoya, Counsel, Jeff Lomonaco, Legislative Assistant (Sen. Franken)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
3/16/2011	Katharine Huffman, Kimberly Goulart, Marni Karlin, Counsel (Sen. Kohl)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.

Exhibit A, Attachment

Date	Participants	Venue	Description
3/22/2011	Katharine Huffman, Kimberly Goulart, Kim Alton, Counsel (Sen. Feinstein)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
3/22/2011	Katharine Huffman, Kimberly Goulart, Jon Donenberg, Counsel (Sen. Blumenthal)	Washington, DC	In-person meeting and follow up email regarding legislative strategy for passage of implementing language.
3/22/2011	Katharine Huffman, Kimberly Goulart, Leon Fresco, Counsel (Sen. Schumer)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
3/23/2011	Katharine Huffman, Kimberly Goulart, Kim Alton, Counsel (Sen. Feinstein)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
3/23/2011	Katharine Huffman, Kimberly Goulart, Nhan Nguyen, Counsel (Sen. Coons)	Washington, DC	In-person meeting and email regarding legislative strategy for passage of implementing language.
3/24/2011	Katharine Huffman, Kimberly Goulart, Mara Silver, Counsel (Sen. Durbin)	Washington, DC	In-person meeting and email regarding legislative strategy for passage of implementing language.
3/24/2011	Katharine Huffman, Kimberly Goulart, Ben Weiner, Counsel (Sen. Whitehouse)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
4/4/2011	Katharine Huffman, Kimberly Goulart, Paige Herwitz, Chief Counsel (Sen. Klobuchar)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
4/7/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Leahy)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
4/8/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Leahy)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
4/8/2011	Katharine Huffman, Kimberly Goulart, Robert Raben, Bruce Swartz (DOJ)	Washington, DC	Email regarding legislative language for potential bill introduction.
4/8/2011	Katharine Huffman, Kimberly Goulart, Sarah Cleveland, Nisha Prabhu (State Department)	Washington, DC	Email and phone call regarding legislative strategy for passage of implementing language.
4/18/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Leahy)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
4/18/2011	Katharine Huffman, Kimberly Goulart, Robert Raben, Bruce Swartz, Robert Erickson and Kathleen Felton (DOJ)	Washington, DC	Email regarding background information and legislative strategy for passage of implementing language.
4/18/2011	Katharine Huffman, Kimberly Goulart, Robert Raben, Judy Appelbaum, Deputy Assistant Attorneys General (DOJ)	Washington, DC	Email regarding background information and legislative strategy for passage of implementing language.
4/18/2011	Katharine Huffman, Lynn Overmann (DOJ)	Washington, DC	Email regarding background information and legislative strategy for passage of implementing language.
4/18/2011	Katharine Huffman, Kimberly Goulart, Robert Raben, David O'Neil (DOJ)	Washington, DC	Email regarding background information and legislative strategy for passage of implementing language.
4/21/2011	Katharine Huffman, Sandra Babcock, Bruce Swartz, Kathleen Felton, Robert Erickson (DOJ)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
4/21/2011	Katharine Huffman, Sandra Babcock, Anya McMurray, Counsel (Sen. Leahy)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
4/22/2011	Katharine Huffman, Harold Koh, Sandra Babcock, Sarah Cleveland (State Department)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.

Exhibit B, Attachment

Date	Participants	Venue	Description
11/4/2010	Katharine Huffman, Harold Koh, Legal Adviser and Sarah Cleveland, Counselor on International Law (State Department), Kimberly Goulart	Washington, DC	Email regarding an update on the pending Humberto Leal case.
11/4/2010	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel, Tara Magner, Counsel, and Noah Bookbinder, Lara Flint, Counsel (Sen. Judiciary Committee), and Andrew Keller, Counsel (Sen. Kerry)	Washington, DC	Email regarding an update on the pending Humberto Leal case.
11/4/2010	Katharine Huffman, Kimberly Goulart, Keenan Keller, Counsel, Perry Apfelbaum, Staff Director/Chief Counsel, Jesselyn McCurdy, Counsel, Bobby Vassar, Chief Counsel, Tom Jawetz, Counsel, Ur Jaddou, Chief Counsel, Ted Kalo, General Counsel, David Lachmann, Chief Counsel	Washington, DC	Email regarding an update on the pending Humberto Leal case.
11/9/2010	Katharine Huffman, Harold Koh, Legal Adviser and Sarah Cleveland, Counselor on International Law (State Department), Sandra Babcock, Kimberly Goulart	Washington, DC	Call regarding an update on the pending Humberto Leal case and legislative language and strategy for passage.
11/10/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding legislative language and strategy for passage.
11/12/2010	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	Email regarding legislative language and strategy for passage.
11/12/2011	Katharine Huffman, Kimberly Goulart, Serena Hoy, Counsel (Sen. Reid)	Washington, DC	Email regarding legislative language and strategy for passage.
11/16/2010	Katharine Huffman, Kimberly Goulart, Vance Serchuk, Legislative Assistant (Sen. Lieberman)	Washington, DC	Email regarding legislative language and strategy for passage.
11/16/2010	Katharine Huffman, Kimberly Goulart, Josh Blumenfeld, Legislative Assistant and Patrick Grant, Legislative Assistant (Sen. Dodd)	Washington, DC	Email regarding legislative language and strategy for passage.
11/16/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding legislative language and strategy for passage.
11/19/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding legislative language and strategy for passage.
11/29/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding legislative language and strategy for passage.
11/29/2010	Katharine Huffman, Sarah Cleveland (State Department)	Washington, DC	Email regarding legislative language and strategy for passage.
11/30/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Multiple phone calls regarding legislative language and strategy for passage.
11/30/2010	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Multiple emails regarding legislative language and strategy for passage.
11/30/2010	Katharine Huffman, Kimberly Goulart, Kathleen Hooke, Nisha Prabhu and Sarah Cleveland (State Department)	Washington, DC	Email regarding legislative language and strategy for passage.
11/30/2010	Katharine Huffman, Patrick Grant, Legislative Assistant (Sen. Dodd)	Washington, DC	Phone call regarding legislative language and strategy for passage.
12/1/2010	Katharine Huffman, Joshua Blumenfeld, Legislative Assistant and Patrick Grant, Legislative Assistant (Sen. Dodd)	Washington, DC	Email regarding legislative language and strategy for passage.

Exhibit B, Attachment

Date	Participants	Venue	Description
12/1/2010	Katharine Huffman, Lara Flint, Counsel (Sen. Feingold)	Washington, DC	Phone call regarding legislative language and strategy for passage.
12/1/2010	Katharine Huffman, Andrew Keller, Counsel (Sen. Kerry)	Washington, DC	Phone call regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Serena Hoy, Counsel (Sen. Reid)	Washington, DC	Phone call regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Kimberly Goulart, Van Luong, Chief of Staff and Mary Yoshioka, Legislative Assistant (Sen. Inouye)	Washington, DC	Email regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Kimberly Goulart, Andrew Keller, Counsel (Sen. Kerry)	Washington, DC	Email regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Kimberly Goulart, Serena Hoy, Counsel (Sen. Reid)	Washington, DC	Email regarding legislative language and strategy for passage.
12/2/2010	Katharine Huffman, Kimberly Goulart, Kathleen Hooke, Nisha Prabhu and Sarah Cleveland (State Department)	Washington, DC	Email regarding legislative language and strategy for passage.
12/8/2010	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Multiple emails regarding legislative language and strategy for passage.
12/10/2010	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee), Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	In-person meeting regarding legislative language and strategy for implementing legislation in next Congress
16-Dec	Katharine Huffman, Bobby Vassar, Chief Counsel (Rep. Scott) and Ron LeGrand, Minority (Dem) Counsel (House Jud Committee)	Washington, DC	In-person meeting regarding legislative language and strategy for implementing legislation in next Congress
1/11/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding bill introduction, legislative language, and strategy for passage.
1/19/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding updates on strategy for passage.
1/20/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding bill introduction, legislative language, and strategy for passage.
1/27/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding bill introduction, legislative language, and strategy for passage.
1/31/2011	Katharine Huffman, Robert Raben, Bruce Swartz (DOJ)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
2/3/2011	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	In-person meeting regarding strategy for introduction and passage of implementing legislation.
2/4/2011	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee), Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
2/17/2011	Katharine Huffman, Robert Raben, Bruce Swartz (DOJ)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.

Exhibit B, Attachment

Date	Participants	Venue	Description
2/24/2011	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee), Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	In-person meeting regarding strategy for introduction and passage of implementing legislation.
2/24/2011	Katharine Huffman, Kimberly Goulart, Bruce Swartz, Robert Erickson, Kathleen Felton (DOJ)	Washington, DC	In-person meeting regarding strategy for introduction and passage of implementing legislation.
2/24/2010	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee), Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	In-person meeting regarding strategy for introduction and passage of implementing legislation.
2/25/2011	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel (Sen. Judiciary Committee), Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding updates on Avena cases
3/2/2011	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel and Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/2/2011	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/2/2011	Katharine Huffman, Mark Kappelhoff (DOJ)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/2/2011	Katharine Huffman, Robert Raben, Lisa Monaco (DOJ)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/2/2011	Katharine Huffman, Lynn Overmann (DOJ)	Washington, DC	Emails regarding Avena litigation update and legislative strategy for passage of implementing language.
3/2/2011	Katharine Huffman, Kimberly Goulart, Bruce Swartz, Robert Erickson, Kathleen Felton (DOJ)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/4/2011	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	Email regarding Avena litigation update.
3/7/2011	Katharine Huffman, Kimberly Goulart, Anya McMurray, Counsel and Tara Magner, Counsel (Sen. Judiciary Committee)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/7/2011	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	Email regarding Avena litigation update and legislative strategy for passage of implementing language.
3/8/2011	Katharine Huffman, Kimberly Goulart, Harold Koh, Sarah Cleveland, Kathleen Hooke, Nisha Prabhu (State Department)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
3/9/2011	Katharine Huffman, Robert Raben, Aaron Lewis (Office of the Attorney General, DOJ)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
3/10/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
3/11/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Judiciary Committee)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
3/11/2011	Katharine Huffman, Kimberly Goulart, Alvaro Bedoya, Counsel, Jeff Lomonaco, Legislative Assistant (Sen. Franken)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
3/15/2011	Katharine Huffman, Kimberly Goulart, Alvaro Bedoya, Counsel, Jeff Lomonaco, Legislative Assistant (Sen. Franken)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
3/16/2011	Katharine Huffman, Kimberly Goulart, Marni Karlin, Counsel (Sen. Kohl)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.

Exhibit B, Attachment

Date	Participants	Venue	Description
3/22/2011	Katharine Huffman, Kimberly Goulart, Kim Alton, Counsel (Sen. Feinstein)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
3/22/2011	Katharine Huffman, Kimberly Goulart, Jon Donenberg, Counsel (Sen. Blumenthal)	Washington, DC	In-person meeting and follow up email regarding legislative strategy for passage of implementing language.
3/22/2011	Katharine Huffman, Kimberly Goulart, Leon Fresco, Counsel (Sen. Schumer)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
3/23/2011	Katharine Huffman, Kimberly Goulart, Kim Alton, Counsel (Sen. Feinstein)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
3/23/2011	Katharine Huffman, Kimberly Goulart, Nhan Nguyen, Counsel (Sen. Coons)	Washington, DC	In-person meeting and email regarding legislative strategy for passage of implementing language.
3/24/2011	Katharine Huffman, Kimberly Goulart, Mara Silver, Counsel (Sen. Durbin)	Washington, DC	In-person meeting and email regarding legislative strategy for passage of implementing language.
3/24/2011	Katharine Huffman, Kimberly Goulart, Ben Weiner, Counsel (Sen. Whitehouse)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
4/4/2011	Katharine Huffman, Kimberly Goulart, Paige Herwitt, Chief Counsel (Sen. Klobuchar)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
4/7/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Leahy)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
4/8/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Leahy)	Washington, DC	Email regarding legislative strategy for passage of implementing language.
4/8/2011	Katharine Huffman, Kimberly Goulart, Robert Raben, Bruce Swartz (DOJ)	Washington, DC	Email regarding legislative language for potential bill introduction.
4/8/2011	Katharine Huffman, Kimberly Goulart, Sarah Cleveland, Nisha Prabhu (State Department)	Washington, DC	Email and phone call regarding legislative strategy for passage of implementing language.
4/18/2011	Katharine Huffman, Anya McMurray, Counsel (Sen. Leahy)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.
4/18/2011	Katharine Huffman, Kimberly Goulart, Robert Raben, Bruce Swartz, Robert Erickson and Kathleen Felton (DOJ)	Washington, DC	Email regarding background information and legislative strategy for passage of implementing language.
4/18/2011	Katharine Huffman, Kimberly Goulart, Robert Raben, Judy Appelbaum, Deputy Assistant Attorneys General (DOJ)	Washington, DC	Email regarding background information and legislative strategy for passage of implementing language.
4/18/2011	Katharine Huffman, Lynn Overmann (DOJ)	Washington, DC	Email regarding background information and legislative strategy for passage of implementing language.
4/18/2011	Katharine Huffman, Kimberly Goulart, Robert Raben, David O'Neil (DOJ)	Washington, DC	Email regarding background information and legislative strategy for passage of implementing language.
4/21/2011	Katharine Huffman, Sandra Babcock, Bruce Swartz, Kathleen Felton, Robert Erickson (DOJ)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
4/21/2011	Katharine Huffman, Sandra Babcock, Anya McMurray, Counsel (Sen. Leahy)	Washington, DC	In-person meeting regarding legislative strategy for passage of implementing language.
4/22/2011	Katharine Huffman, Harold Koh, Sandra Babcock, Sarah Cleveland (State Department)	Washington, DC	Phone call regarding legislative strategy for passage of implementing language.

Attachment C
The Raben Group, #5932
Receipts

The Raben Group has a formal written contract (see Exhibit B) with Kuykendall & Associates, who directs and supervises our efforts on behalf of the foreign principal. The Raben Group is compensated for its services by funds provided to Kuykendall & Associates by the Government of Mexico.

Name of Foreign Principal	Date	Purpose	Amount
Kuykendall & Associates	12/01/2010	Legislative Services	15,000.00
Kuykendall & Associates	11/01/2010	Meal	152.96
Kuykendall & Associates	12/27/2010	Legislative Services	15,000.00
Kuykendall & Associates	12/01/2010	Taxis	22.00
Kuykendall & Associates	01/06/2011	Taxis	49.00
Kuykendall & Associates	01/31/2011	Legislative Services	15,000.00
Kuykendall & Associates	03/01/2011	Taxis	42.00
Kuykendall & Associates	03/01/2011	Meal	8.91
Kuykendall & Associates	02/22/2011	Legislative Services	15,000.00
Total			60,274.87

Attachment D
The Raben Group, #5932
Disbursements

The Raben Group has a formal written contract with kuykendall & Associates, who directs and supervises our efforts on behalf of the foreign principal. The Raben Group is compensated for its services by funds provided to Kuykendall & Associates by the Government of Mexico.

Name of Foreign Principal	Date	Purpose	Amount
Kuykendall & Associates	11/01/2010	Meal, 11/01, Katharine Huffman	152.96
Kuykendall & Associates	12/01/2010	Taxis	22.00
Kuykendall & Associates	01/06/2011	Meal, 10/29, Katharine Goulart	10.00
Kuykendall & Associates	01/06/2011	Taxis, 12/10, Katharine Huffman	49.00
Kuykendall & Associates	01/06/2011	Balance to zero	-10.00
Kuykendall & Associates	03/01/2011	Taxi	42.00
Kuykendall & Associates	03/01/2011	Meal	8.91
Kuykendall & Associates	07/30/2010	Taxis	72.00
Kuykendall & Associates	04/01/2011	Shipping	31.35
Kuykendall & Associates	04/01/2011	Meal	8.65
			<u>386.87</u>

Attachment E

Date	Amount or thing of value	Name of Political Organization	Name of Candidate
2/11/2011	\$ 500.00	Tester for Senate	Jon Tester
2/11/2011	\$ 500.00	Conyers for Congress	John Conyers
3/3/2011	\$ 500.00	Deval Patrick Committee	Deval Patrick
3/8/2011	\$ 250.00	Luis Sanchez for LA School Board	Luis Sanchez
3/11/2011	\$ 500.00	Heinrich for Congress	Martin Heinrich
3/29/2011	\$ 250.00	Braley for Congress	Bruce Braley
3/31/2011	\$ 5,000.00	Democratic Congressional Campaign Committee	DCCC
4/7/2011	\$ 1,000.00	Klobuchar for Minnesota	Amy Klobuchar
4/12/2011	\$ 250.00	The Committee to Elect Sekou Biddle At-Large	Sekou Biddle